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Executive Board of the United Nations Development Programme, the United Nations Population Fund and the United Nations Office for Project Services

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Item 1 of the provisional agenda
Organizational matters

Provisional agenda, annotations, list of documents and workplan

Note by the Executive Board secretariat

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Item 1
Organizational matters

Under this item, the Executive Board will adopt the agenda for the annual session 2016 and agree on the workplan for the session as presented by the Secretary of the Board. The Board may wish to approve the report of its first regular session 2016. A draft report was distributed to members of the Board for their comments; the final report contains comments received within the deadline.

Documentation:

Provisional agenda, annotations, list of documents and workplan (DP/2016/L.2)

Report of the first regular session 2016 (DP/2016/7)

Decisions adopted at the first regular session 2016 (DP/2016/8)

UNDP segment

Item 2
Annual report of the Administrator

In response to Executive Board decision 2013/27, the Board will have before it a report combining the midterm review of the UNDP strategic plan, 2014-2017, and the annual report of the Administrator for 2015. The report presents development and institutional results achieved for the first two years of the strategic plan implementation. This is complemented by an assessment of performance factors, lessons learned and recommendations for the way forward. The Board may wish to take note of the report and provide guidance relevant to the preparation of future annual reports.

In line with General Assembly resolutions 59/267 and 62/246, the UNDP report on the recommendations of the Joint Inspection Unit (JIU) provides a summary of UNDP management responses to the recommendations contained in the reports issued by the JIU in 2015, as well as the implementation status of relevant JIU recommendations issued in 2014 and 2013. The Board may wish to take note of the report.

The statistical annex contains detailed financial information on the annual stock of revenue and expenses for UNDP country programme activities from all core and non-core funding sources.

Documentation:

Integrated annual report of the Administrator for 2015 and midterm review of the UNDP strategic plan, 2014-2017 (DP/2016/9)

Report of UNDP on the recommendations of the Joint Inspection Unit in 2015 (DP/2016/9/Add.1)

Statistical annex (DP/2016/9/Add.2)

Item 3
Financial, budgetary and administrative matters

In its decision 2013/28, the Executive Board approved the UNDP integrated budget for 2014-2017, which forms an integral complement to the UNDP strategic plan, 2014-2017. The approval covered the regular resources portion of the UNDP integrated resources plan, including programmatic and institutional activities. The Board also took note of the 'other resources' portion of the integrated resources plan of UNDP. This decision also called for a midterm review of the integrated budget to take place in conjunction with the midterm review of the strategic plan at the annual session 2016. The document presents a midterm review of the integrated budget, with a focus on the institutional component of the integrated budget, and on cost alignment through improved cost recovery. The Board may wish to adopt a decision, elements of which are contained in the report.

Documentation:

Midterm review of the UNDP integrated budget, 2014-2017 (DP/2016/10)

Item 4
Gender in UNDP

The present report summarizes the achievements of UNDP in 2015 towards implementing the UNDP gender equality strategy, 2014-2017. The strategy corresponds with the UNDP Strategic Plan, 2014-2017, which includes gender equality and women's empowerment as one of seven development outcomes and integrates them into all other outcome areas. It also reports on new and strengthened measures to improve accountability, investments and capacity for gender mainstreaming. The Board may wish to take note of the report.

Documentation:

Annual report of the Administrator on the implementation of the UNDP gender equality strategy in 2015 (DP/2016/11)

Item 5
Human Development Report

The Human Development Report Office (HDRO) will present an oral report on consultations regarding the Human Development Report 2016 in accordance with General Assembly resolution 57/264. The HDRO Director will describe the preparation process (consultations, commissioned contributions and the review process; the sourcing of data, briefings with Member States), highlight the main areas of focus in the report, and preliminary thoughts for its global launch and other related presentations. He will situate the theme on 'Human Development - the way ahead' within the broad 2030 global agenda/Sustainable Development Goals with an emphasis on articulating the concept of human development to resonate with current development challenges, threats and inequities, as well as opportunities in a world that generally is seeing advanced levels of human development. The Director will also remind the Board how data for the human development indices are sourced from agencies with data mandates, and reflect considerations to expand the core set of indicators of human development.

Item 6
UNDP country programmes and related matters

Under this item, the Executive Board will be asked to: (a) take note of the first one-year extensions of the country programmes for Burundi, Cape Verde, Central African Republic, Gabon and Mauritania from 1 January to 31 December 2017; (b) approve the second one-year extensions of the country programmes for Burkina Faso and Yemen from 1 January to 31 December 2017; (c) approve the two-year extension of the country programme for Senegal from 1 January 2017 to 31 December 2018; and (d) review and approve, in accordance with Executive Board decision 2014/7, the country programme documents for Mauritius and South Sudan.

Documentation:

Extensions of country programmes (DP/2016/12)

Country programme document for Mauritius (DP/DCP/MUS/4)

Country programme document for South Sudan (DP/DCP/SSD/2)

Item 7
Evaluation

The 2015 annual report on evaluation highlights the evaluation activities of the Independent Evaluation Office (IEO) of UNDP. It assesses the status of the evaluation function and the evaluation capacity of the organization, provides lessons emerging from the evaluations conducted in 2015, and presents the planned and costed programme of work of the IEO for the period 2016–2017. The report is accompanied by the management response. The Board may wish to adopt a decision, elements of which are contained in the report.

Documentation:

Annual report on evaluation (DP/2016/13)

Item 8
United Nations Capital Development Fund

UNCDF is submitting to the Executive Board its integrated annual report on results for 2015 and the midterm review of the Strategic Framework, 2014-2017. The timeframe of the Strategic Framework and related reporting is synchronized with that of the UNDP Strategic Plan to maximize synergies. The present report details how the organization will support the least developed countries (LDCs) in three areas of intervention: (a) increasing financing for basic services, inclusive growth and local economic development; (b) establishing effective financing mechanisms to increase resilience to economic and environmental shocks; and (c) fostering policy environments that are conducive to sustainable financing for development. The report includes information on results achieved by UNCDF in 2015, a midterm review of the Strategic Framework, and a revised Integrated Results and Resources Matrix. The Board may wish to take note of the 2015 results and perspectives on UNCDF's positioning in a changing development finance landscape. The Board is also invited to recommit to meeting a critical mass of $25 million in regular resources per year, as called for in decision 2014/12, to ensure UNCDF presence and innovative finance models in at least 40 LDCs, recognizing the strategic contribution that UNCDF public/private finance models for local economic

development can make to the 2030 Agenda, the Addis Ababa Action Agenda, and the Istanbul Programme of Action for the LDCs.

Documentation:

Integrated annual report on results for 2015 and the midterm review of the Strategic Framework, 2014-2017 (DP/2016/14)

Item 9
United Nations Volunteers

Under this item, the Executive Board will have before it the 2014-2015 biennial report of the Administrator on the United Nations Volunteers (UNV) programme. In accordance with decision 2014/13, the report is structured using the Integrated Results and Resources Matrix of the UNV Strategic Framework, 2014-2017. This results-oriented biennial report presents the results and achievements of UNV in implementing its Strategic Framework. It also represents the midterm review of the Strategic Framework, reflecting on progress, challenges and opportunities and how UNV is looking to the future. It specifically highlights the forward-looking, innovative and South-South-focused approaches and partnerships being undertaken to develop volunteer solutions for sustainable development challenges in the 2030 Agenda. The report also notes the transformative measures taken by UNV to adjust to the development landscape, including making strategic investments and changes to become a more result- and partner-orientated organization. The Board may wish to provide guidance and future direction on the elements of a decision, which are contained in the report.

Documentation:

United Nations Volunteers: Report of the Administrator (DP/2016/15)

UNFPA segment

Item 10
Annual report of the Executive Director

Under this item, the Executive Board will consider the Integrated midterm review and progress report of the Executive Director on the implementation of the Strategic Plan, 2014 -2017.

Part I of the report of the Executive Director provides an integrated analysis of the progress and a midterm review of the implementation of the UNFPA strategic plan, 2014-2017. More detailed quantitative and qualitative analyses are presented in annexes, available separately on the UNFPA website.

Part I, Addendum 1 of the report, entitled Statistical and financial review, 2015, summarizes the financial situation of UNFPA and provides statistical highlights concerning UNFPA finances and programmes in 2015. The figures for the financial information are provisional subject to the report of the Board of Auditors.

Part II of the report, entitled Report on the recommendations of the Joint Inspection Unit in 2015, provides, in accordance with General Assembly resolution 59/267, a synopsis of UNFPA management responses to key recommendations of the Joint Inspection Unit contained in its reports issued in 2015 that are relevant to UNFPA.

Also under this agenda item, the Executive Board will consider the Midterm review of the UNFPA integrated budget, 2014-2017, including implementation of the revised cost recovery methodology, as well as the corresponding Report of the Advisory Committee on Administrative and Budgetary Questions on the midterm review of the UNFPA integrated budget, 2014-2017. The report is submitted in compliance with the Executive Board decisions 2012/27 and 2013/32.

The integrated budget report includes a review of the strategic and financial context within the 2030 Agenda for Sustainable Development, and its implications on resource estimates, allocations and results. It responds to Executive Board requests for a review of the application of the harmonized cost recovery framework and an assessment of ways to enhance results-based budgeting.

In response to the funding environment, UNFPA is requesting a reduced appropriation for its institutional budget of $609.9 million for 2014-2017, down from $664.1 million originally approved. Similarly, UNFPA is requesting a reduced ceiling approval for global and regional interventions of $231.5 million, down from $275 million originally approved.

The Board may wish to adopt one decision on the midterm review of UNFPA strategic plan and one on the midterm review the integrated budget.

Documentation:

Report of the Executive Director: Integrated progress and midterm review report on the implementation of the UNFPA strategic plan, 2014-2017 (DP/FPA/2016/2, Part I)

Statistical and financial review, 2015 (DP/FPA/2016/2, Part I, Add.1)

Report on the recommendations of the Joint Inspection Unit in 2015 (DP/FPA/2016/2, Part II)

Midterm review of the UNFPA integrated budget, 2014-2017 (DP/FPA/2016/3)

Report of the Advisory Committee on Administrative and Budgetary Questions on the midterm review of the UNFPA integrated budget, 2014-2017 (DP/FPA/2016/4)

Item 11
Country programmes and related matters

The Executive Board will be asked to (a) approve, in accordance with decision 2014/7, the country programme document South Sudan, and (b) take note of the first one-year extension of the country programme for the Dominican Republic.

Documentation:

Country programme document for South Sudan (DP/FPA/CPD/SSD/2)

Extensions of country programmes (DP/FPA/2016/6)

Item 12
Evaluation

In accordance with the revised evaluation policy of UNFPA (DP/FPA/2013/5) and relevant Executive Board decisions, the Annual report of the Evaluation Office for 2015 presents progress made by the evaluation function, specifically in relation to

the transitional biennial budgeted evaluation plan 2014-2015 against key performance indicators.

The report presents lessons learned from corporate evaluations completed in 2015. It sets out how the Evaluation Office contributes to joint evaluation efforts within the United Nations and to global communities of practice to contribute to strengthening national evaluation capacities. Finally, the report provides follow-up to the recommendations made in the annual report for the year 2014 (DP/FPA/2015/6).

Annexes to the report are available separately on the UNFPA website. A management response to the report is also available (DP/FPA/2016/CPR.1).

The Board may wish to adopt a decision on annual report of the Evaluation Office.

Documentation:

Annual report of the Evaluation Office (DP/FPA/2016/5)

UNOPS segment

Item 13
Annual report of the Executive Director

The annual report of the Executive Director of UNOPS details the organization's support to the implementation of partners' peacebuilding, humanitarian and development projects. Through more than 1,300 projects in over 80 countries, UNOPS delivers lasting results to help people in need. The report highlights key results across the organization, providing examples of the ways in which UNOPS supports the United Nations system and partners around the world. The Board may wish to adopt a decision, elements of which are contained in the report.

Documentation:

Annual report of the Executive Director (DP/OPS/2016/2)

Joint segment

Item 14
Internal audit and oversight

Under this item, the Executive Board will have before it the internal audit and investigation reports of UNDP, UNFPA and UNOPS.

The UNDP report provides information on the activities of the Office of Audit and Investigations (OAI) for the year ended 31 December 2015. As requested by the Executive Board in its decision 2015/13, the report includes an opinion, based on the scope of work undertaken, on the adequacy and effectiveness of the organizations' framework of governance, risk management and control, and a concise summary of work and the criteria that support the opinion. The present report continues to address Board requests noted in prior years' decisions, such as: information regarding financial losses identified by investigations as well as the manner and amount of recovery (decision 2014/21), the titles of all internal audit reports issued during the year and ratings received (decision 2013/24), significant issues related to the public disclosure of internal audit reports (decision 2012/18), and cases of fraud and action taken in cases of misconduct (decision 2011/22). The management response to this report is presented separately (in accordance with decision 2006/13) and the annual report of the Audit Advisory Committee is

appended to this report (in accordance with document DP/2008/16/Rev.1 on the UNDP accountability system).

The report on the UNFPA internal audit and investigation activities of the Office of Audit and Investigation Services (OAIS) for the year ending 31 December 2015 responds to Executive Board decisions 2015/2 and 2015/13 and earlier pertinent Board decisions. It presents a review of activities completed in 2015 by OAIS on internal audit and investigation, and contains information on (a) resources in OAIS for 2015; (b) significant issues revealed through OAIS internal audit and investigation activities; (c) investigations (including cases of fraud), and actions taken; and (d) review of internal audit recommendations issued in 2010-2015 and their implementation status. Finally, the report provides OAIS opinion, based on the scope of work undertaken, on the adequacy and effectiveness of the UNFPA framework of governance, risk management and control. Annexes to the report are available separately on the UNFPA website.

Pursuant to Executive Board decision 2015/2 and earlier pertinent decisions, the annual report of the UNFPA Audit Advisory Committee is provided as an addendum to the present report. The management response thereto as well as to the present report is also available (DP/FPA/2016/CPR.2).

The Executive Board will also have before it the activity report on UNOPS internal audit and investigation services for the year ended 31 December 2015, submitted by the Internal Audit and Investigations Group. The report is accompanied by the management response presented separately (in accordance with decision 2006/13) and the annual report of the UNOPS Strategy and Audit Advisory Committee for 2015.

The Executive Board may wish to adopt a decision on the internal audit and investigations reports of UNDP, UNFPA and UNOPS.

Documentation:

UNDP: Report on internal audit and investigations (DP/2016/16)

UNFPA: Report of the Director of the Office of Audit and Investigation Services on the UNFPA internal audit and investigation activities in 2015 (DP/FPA/2016/7)

Annual report of the UNFPA Audit Advisory Committee (DP/FPA/2016/7/Add.1)

UNOPS: Activity report for 2015 of the Internal Audit and Investigations Group (DP/OPS/2016/3)

Item 15
Reports of UNDP, UNFPA and UNOPS Ethics Offices

Under this item, the Executive Board will have before it the reports of the Ethics Offices of UNDP, UNFPA and UNOPS.

Pursuant to Executive Board decision 2008/37, the UNDP Ethics Office submits the present report covering its activities in 2015. In accordance with the Secretary-General's bulletin on United Nations system-wide application of ethics: separately administered organs and programmes (ST/SGB/2007/11), the Ethics Panel of the United Nations reviewed the report at its seventy-sixth session on 9 February 2016; the report was subsequently presented to the UNDP Administrator. As required by the Board, pursuant to its decision 2011/24, the report includes recommendations to management on strengthening the UNDP culture of ethics, integrity and compliance.

This is the eighth annual report presented by the Ethics Office since its establishment in 2007.

Pursuant to Executive Board decision 2008/37, the UNDP Ethics Office submits the present report covering its activities in 2014. In accordance with the Secretary-General's bulletin on United Nations system-wide application of ethics: separately administered organs and programmes (ST/SGB/2007/11), the Ethics Panel of the United Nations reviewed the report at its sixty-sixth session on 11 February 2015; the report was subsequently presented to the UNDP Administrator. As required by the Board, pursuant to its decision 2011/24, the report includes recommendations to management on strengthening the UNDP culture of ethics, integrity and compliance. This is the seventh annual report presented by the Ethics Office since its establishment in 2007.

The UNFPA report is submitted to the Executive Board pursuant to paragraph 9 of Executive Board decision 2010/17. In accordance with the Secretary-General's bulletin (ST/SGB/2007/11), the report was reviewed by the Ethics Panel of the United Nations at its 78th session on 9 February 2016 and subsequently presented to the UNFPA Executive Director. The report provides a summary of the activities of the UNFPA Ethics Office during 2015, and describes trends in the mandated areas of its work. It also provides recommendations to management to further strengthen the organizational culture of integrity and compliance. A management response to the report is also available (DP/FPA/2016/CPR.3).

Pursuant to Executive Board decision 2010/17, the Ethics Officer of UNOPS submits to the Board the report on the activities of the Ethics Office during 2015. This report has been reviewed by the Ethics Panel of the United Nations, in accordance with section 5.4 of the Secretary-General's bulletin on United Nations system-wide application of ethics: separately administered organs and programmes (ST/SGB/2007/11), as amended.

The Executive Board may wish to take note of the reports of the Ethics Offices of UNDP, UNFPA and UNOPS, and comment on the progress of the work of the Ethics Offices.

Documentation:

Activities of the UNDP Ethics Office in 2015 (DP/2016/17)

UNFPA: Report of the Ethics Office 2015 (DP/FPA/2016/8)

Activities of the UNOPS Ethics Office in 2015 (DP/OPS/2016/4)

Item 16
Other matters

Joint meeting of the Executive Boards of UNDP, UNFPA and UNOPS, UNICEF, UN-Women and WFP (3 June 2016)

Themes:

1. Big data and the Sustainable Development Goals;
2. Working in fragile contexts, inclusive of middle-income countries.

TENTATIVE WORKPLAN
EXECUTIVE BOARD OF UNDP, UNFPA and UNOPS
ANNUAL SESSION 2016
(6 – 10 June 2016, New York)

Day/Date	*Time*	*Item*	*Subject*
Friday, 3 June	10 a.m. to 1 p.m. 3 to 6 p.m.		JOINT MEETING OF THE EXECUTIVE BOARDS OF UNDP, UNFPA and UNOPS, UNICEF, UN-WOMEN AND WFP
Monday, 6 June	10 a.m. – 1 p.m.	1	ORGANIZATIONAL MATTERS • Adoption of the agenda and workplan for the session • Adoption of the report of the first regular session 2016 **UNFPA SEGMENT** STATEMENT BY THE EXECUTIVE DIRECTOR
		10	ANNUAL REPORT OF THE EXECUTIVE DIRECTOR • Integrated progress and midterm review report on the implementation of the Strategic Plan, 2014-2017 • Midterm review of the UNFPA integrated budget, 2014-2017, including implementation of the revised cost recovery methodology • Report of the Advisory Committee on Administrative and Budgetary Questions on the midterm review of the UNFPA integrated budget, 2014-2017 • Statistical and financial review, 2015 • UNFPA report on the recommendations of the Joint Inspection Unit in 2015
	3 – 4:30 p.m.	10	ANNUAL REPORT OF THE EXECUTIVE DIRECTOR (cont'd)
	4:30 – 6 p.m.		*UNFPA special event 13th Rafael M. Salas Memorial Lecture*
Tuesday, 7 June	10 a.m. – 1 p.m.		**UNFPA SEGMENT (cont'd)**
		11	UNFPA COUNTRY PROGRAMMES AND RELATED MATTERS • Presentation and approval of country programme documents • Extensions of country programmes
		12	EVALUATION • Annual report of the UNFPA Evaluation Office and management response • Thematic evaluation of UNFPA support to population and housing census data to inform decision-making and policy formulation (2005-2014)
	1:15 – 2:45 p.m.		*Executive Board briefing on the implementation of the 2030 Agenda for Sustainable Development*
	3 – 5 p.m.		**UNOPS SEGMENT** STATEMENT BY THE EXECUTIVE DIRECTOR
		13	UNITED NATIONS OFFICE FOR PROJECT SERVICES • Annual report of the Executive Director
	5 – 6 p.m.		*Informal consultations on draft decisions*
Wednesday, 8 June	10 a.m. – 1 p.m.		**UNDP SEGMENT** STATEMENT BY THE ADMINISTRATOR
		2	ANNUAL REPORT OF THE ADMINISTRATOR • Integrated annual report of the Administrator for 2015 and midterm review of the UNDP strategic plan, 2014-2017

			• UNDP report on the recommendations of the Joint Inspection Unit in 2015 • Statistical annex
	3 - 5:30 p.m.	2 3	ANNUAL REPORT OF THE ADMINISTRATOR (cont'd) FINANCIAL, BUDGETARY AND ADMINISTRATIVE MATTERS • Midterm review of the UNDP integrated budget, 2014-2017, including implementation of the revised cost recovery methodology
	5:30 – 6 p.m.		*Informal consultations on draft decisions*
Thursday, 9 June	10 – 11:30 a.m.	 3	**UNDP SEGMENT (cont'd)** FINANCIAL, BUDGETARY AND ADMINISTRATIVE MATTERS (cont'd)
	11:30 a.m. – 1 p.m.	4 5	GENDER IN UNDP • Annual report on the implementation of the UNDP gender equality strategy, 2014-2017 HUMAN DEVELOPMENT REPORT • Update on Human Development Report consultations (GA resolution 57/264)
	3 – 4:30 p.m.	8	UNITED NATIONS CAPITAL DEVELOPMENT FUND • Report on results achieved by UNCDF in 2015
	4:30 – 6 p.m.	9	UNITED NATIONS VOLUNTEERS • United Nations Volunteers: Report of the Administrator
Friday, 10 June	10 – 10:30 a.m.	6	UNDP COUNTRY PROGRAMMES AND RELATED MATTERS • Presentation and approval of country programme documents • Extensions of country programmes
	10:30 – 11:30 a.m.	7	EVALUATION • Annual report on evaluation and management response
	11:30 a.m. – 1 p.m.	 14	**JOINT SEGMENT** INTERNAL AUDIT AND OVERSIGHT • Reports of UNDP, UNFPA and UNOPS on internal audit and investigations and management responses
	3 – 6 p.m.	14 15 16 1	INTERNAL AUDIT AND OVERSIGHT (cont'd) REPORTS OF THE ETHICS OFFICES OF UNDP, UNFPA AND UNOPS • Reports of the Ethics Offices of UNDP, UNFPA and UNOPS on activities for 2014 and management responses OTHER MATTERS • Adoption of decisions ORGANIZATIONAL MATTERS • Adoption of the tentative workplan for the second regular session 2016 • Closing of the session